

## Publication Certificate for E-publishing of Thesis at Halmstad University (HH)

### 1. Introduction

Halmstad University (HH), through the publisher known as Halmstad University Press (referred to as HUP), publishes publications produced by Halmstad University employees. HUP publishes all doctoral and licentiate theses examined at the institution unless copyright or confidentiality obstacles exist.

Researchers are responsible for the quality of their work, scientific integrity, ethics, and legality. HUP publishes all publications freely accessible (open access) online. That implies publishing works through HH's publishing platform.

These publishing terms refer to the publication of works defined below at HUP. These conditions clarify issues related to electronic publishing at HH.

### 2. Definitions

- **Work** refers to an item that is to be published via a publishing platform administered by HUP. Works that are allowed to be published according to the general terms comprise: **Licentiate** and **Ph.D. theses** submitted at HH presenting research primarily conducted at HH.
- **The Author** is the person or persons who have created a Work that they wish to e-publish. Regarding **Ph.D.** and **Licentiate theses**: Ph.D. students admitted to doctoral studies at HH intend to submit a Ph.D. or licentiate thesis at HH.
- **HUP** refers to the publisher Halmstad University Press.
- **A Publishing Certificate** is a certificate, where the author gives HUP permission to e-publish the author's work as e-publication of a thesis.
- **Metadata** is structured information about an electronically published Work, for example, the Author's name and affiliation, title of the Work, ISBN, series, publisher, and abstract.
- **E-publication** means that the Work is made public electronically online, where everyone can access the Work.
- **Publishing platform**. For e-publishing, HUP uses, within the scope of these terms, the publishing platform Digitala Vetenskapliga Arkivet (hereafter referred to as DiVA), considered HH's publication database (institutional repository), where all types of Works are e-published. HUP may, in the future, transition to other e-publishing formats and publishing platforms with similar formats and content without notice to the Author

unless such notification is required by law. This also refers to any potential future publishing platforms in this document.

### 3. Ethics, law offenses, etc.

The Author owns the copyright to their Work. HUP obtains a non-exclusive right to e-publish the Work.

All publishing via a Publishing platform must comply with Swedish law and research ethical, publishing ethics guidelines and General Data Protection Regulation “GDPR” (EU 2016/679). For instance, CODEX and the Swedish Research Council provide information about research ethics, and COPE (Committee on Publication Ethics) offers guidance on publishing ethics. Works e-published via HUP must not have been created improperly, such as through plagiarism, dishonesty, or impropriety.

The Author should formulate the Work so that e-publishing does not constitute crimes such as defamation or unlawful threats. Furthermore, consideration should be given to whether the Work could be perceived as disparaging an individual based on gender, gender identity, and expression, ethnic background, religion or other beliefs, political opinions or philosophical convictions, disabilities, age, sexual orientation, etc.

### 4. Publishing by a third party

The Author assigns HUP the irrevocable right to e-publish the Work, which does not exclude the possibility for the Author to publish the Work with another publisher before or after the publication by HUP (non-exclusive license). The Author is allowed to publish the Work with another publisher and can transfer that right as long as HUP is permitted to continue with the e-publication of the Work.

Suppose the Author has previously published the Work or transferred that right to another publisher before the e-publication via HUP. In that case, the Author must verify that HUP can e-publish the Work following the Certificate and these Publishing Terms.

### 5. Processing of personal data

The processing of personal data associated with the Author is done following GDPR. Handling the personal data listed below is necessary for HUP to fulfill its commitments following the Publishing Certificate and these Terms of publication. The personal data or information, which, when combined with other data, could become personal data, processed, and stored during the publication of the Work, as well as those searchable in DiVA's external search interface, are presented in the table below.

Field	Visible in DiVA's search interface	Searchable in DiVA
First and Last Name	Yes	Yes
Organizational Affiliation	Yes	Yes
Year of Birth	No*	No*
HH-ID/Username	No	Yes
ORCID	Yes	Yes
Research Group	Yes	Yes
Email	No	No

\* SwePub and Libris (parts of the National Library of Sweden) collects this information from DiVA and “Year of Birth” is shown in their database and searchable.

## 5.1 Controller of personal data and contact information

The personal data controller for the above-mentioned personal data is Halmstad University. The publishing platform DiVA is provided by Uppsala University (system supplier) and processes personal data on behalf of HH. The platform is used for electronic registration, publication and archiving of publications from several educational institutions and research organizations. The legal basis for the University to process personal data for publication via HUP is public interest. The legal basis for processing personal data is necessary to fulfill the Publication Certificate and these terms. The Author has the right to contact HH to obtain information about the data HH has about the Author or otherwise exercise the Author's rights under GDPR to request correction or transfer of the Author's data. The author can also request that HH limit the processing and object to it. The right to erasure does not apply to the extent that the Author's information is necessary to fulfill the Publication Certificate and its terms or other legislation. The author can most easily exercise these rights by contacting HH via [registrator@hh.se](mailto:registrator@hh.se). The author can reach HH's data protection officer at [dataskydd@hh.se](mailto:dataskydd@hh.se). If the Author has a complaint about HH's processing of the Author's personal data, the Author has the right to contact the relevant supervisory authority, The Swedish Authority for Privacy Protection's (IMY).

## 6. Fees and compensations

It is free of charge to e-publish via HUP, and all e-publishing is non-commercial, which also means that the Author is not entitled to compensation in the form of royalties or any other financial compensation.

## 7. The Author's responsibilities

Authors of Works e-published by HH on a Publishing Platform are responsible for:

- The content of the Work and language editing of the Work.
- Granting a non-exclusive right to HUP to e-publish the Work.
- Ensuring that the Author and the Work are affiliated with HH as specified in section 2 and that the stated Authors of the Work are accurate.
- Ensuring that the creation of the Work complies with Swedish law, research ethics, and publishing ethical rules according to section 3 [Ethics, law offenses, etc.].
- Owning the copyright to the Work, not violating existing copyright laws, and being unrestricted to e-publish the Work with third parties in the future according to section 4 [Publishing by a third party].
- Notify companies or other organizations in cases where the Work is a product of external collaboration.
- Confirming that the Work does not contain confidential material.
- Ensuring that personal data has been collected and managed in compliance with the GDPR and relevant national legislation.
- Ensuring that the Work has been made accessible following the Act (2018:1937) on accessibility to digital public services.

## 8. HUP's responsibilities

HUP is responsible for:

- Providing a permanent and robust e-publishing platform.
- As soon as possible, make the Work accessible on a Publishing platform.
- Publishing metadata associated with the publication as provided by the Author.
- Making the e-published Work accessible for at least ten years, whenever possible.

## 9. Liability

HUP reserves the right to be held unaccountable if a compensation claim should be made due to an e-publication of a Work via HUP. One example of circumstances where this could be the case is if the Author does not live up to their commitments as stated in paragraph 7 [The Author's responsibilities].

## 10. Correction or removal of a published Work

### 10.1 Correction of a Published Work

HUP does not allow changes to be made to the work, due to the importance of the work's publication date and assessment of priority for new research results, except in cases where copyrighted or confidential material can be hidden. If inaccuracies or changes are discovered, HUP offers to e-publish an errata list alongside the Work. In the case of substantial book changes, HUP may offer the Author the opportunity to publish a new book edition. The latest edition would then receive a new entry in DiVA with a new ISBN number.

### 10.2 Removal of a Published Work

The general rule is that Works cannot be removed once e-published. Exceptions may be considered in the following cases:

- If the Author, in any respect, has not fulfilled their obligations according to section 7 [The Author's responsibilities].
- If the Work is found to have documented deficiencies in scientific quality.
- If a thesis has been e-published in advance but fails in the examination.
- Extraordinary changes in external circumstances.
- Exceptionally compelling reasons for the Author.

Without the Author's consent, HUP reserves the right to cease e-publishing a Work falling within the exceptions above. HUP also reserves the right to hide a Work temporarily. The Author's request to apply the above exceptions should be submitted in writing to HUP. Upon the removal of a Work in DiVA, the Work's full text is primarily concealed, while Metadata remains with a note explaining the reason for the removal. The Work remains accessible to authorized personnel in DiVA for potential future analysis. Sometimes, the Work might remain accessible to everyone with a note regarding the changed circumstances.